



41 #10

PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete if Known

Application Number	09/839,707
Filing Date	April 20, 2001
First Named Inventor	Lam, Angela M.I., et. al.
Art Unit	1645
Examiner Name	Not Yet Assigned

Attorney Docket Number

16303-008110

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DA	1	US-4,885,172	12/05/1989	Bally et al.	
	2	US-5,059,421	10/22/1991	Loughrey et al.	
	3	US-5,171,578	12/15/1992	Bally et al.	
	4	US-5,208,036	05/04/1993	Eppstein et al.	
	5	US-5,264,423	11/23/1993	Cohen et al.	
	6	US-5,264,618	11/23/1993	Feigner et al.	
	7	US-5,276,019	01/04/1994	Cohen et al.	
	8	US-5,279,833	01/18/1994	Rose	
	9	US-5,283,185	02/01/1994	Eppard et al.	
	10	US-5,837,282	11/17/1998	Fenske et al.	
	11	US-5,876,695	03/02/1999	Gries et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
DA	15	CA	2,271,582	11/14/99			<input type="checkbox"/>
	16	PC	WO 96/40964	A2	12/19/96		<input type="checkbox"/>
	17	PC	WO 97/38010	A2	10/16/97		<input type="checkbox"/>
	18	PC	WO 98/16202	A2	4/23/98		<input type="checkbox"/>
	19	PC	WO 98/46208	A1	10/22/98		<input type="checkbox"/>
	20	PC	WO 98/51285	A2	11/19/98		<input type="checkbox"/>
	21	PC	WO 99/05094	A1	2/4/99		<input type="checkbox"/>
	22	PC	WO 99/05303	A1	2/4/99		<input type="checkbox"/>
	23	PC	WO 99/65461	A2	12/23/99		<input type="checkbox"/>
	24	PC	WO 00/06120	A1	2/10/00		<input type="checkbox"/>
	25	PC	WO 00/43043	A1	7/27/00		<input type="checkbox"/>
	26	PC	WO 00/62813	A2	10/26/00		<input type="checkbox"/>
	27	PC	WO 01/05373	A1	1/25/01		<input type="checkbox"/>

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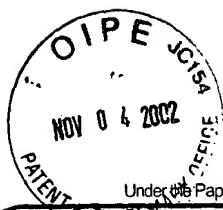
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete If Known

Application Number	09/839,707
Filing Date	April 20, 2001
First Named Inventor	Lam, Angela M.I., et. al.
Art Unit	1645
Examiner Name	Not Yet Assigned
Attorney Docket Number	16303-008110

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
M	28	ANWER <i>et al.</i> , <i>J. of Drug Targeting</i> , 8(2):125-135 (2000)	
	29	BARRON <i>et al.</i> , <i>Gene Ther.</i> , 6:1179-1183 (1999)	
	30	BENNETT <i>et al.</i> , <i>Mol. Pharmacol.</i> , 41:1023-1033 (1992)	
	31	BHATTACHARY <i>et al.</i> , <i>Tetrahedron Letters</i> , 40:8167-8171 (1999)	
	32	BOTTGER <i>et al.</i> , <i>Biochimica et Biophysica Acta</i> , 1395:78-87 (1998)	
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	38	FELGNER <i>et al.</i> , <i>Journal of Biological Chemistry</i> , 269(4):2550-2561 (1994)	
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	40	FELGNER, <i>Scientific American</i> , 276:102-106 (1997)	
V	41	FENSKE <i>et al.</i> , <i>Biochimica et Biophysica Acta</i> , 1512:259-272 (2001)	

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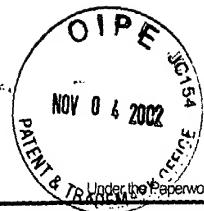
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
DM	42	HABERLAND <i>et al.</i> , <i>Biochimica et Biophysica Acta</i> , 1445:21-30 (1999)
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	53	MILLER <i>et al.</i> , <i>Biochemistry</i> , 37:12875-12883 (1998)
✓	54	MISLICK <i>et al.</i> , <i>Proc. Natl. Acad. Sci. USA</i> , 93:12349-12354 (1996)
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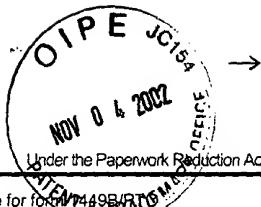
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Substitute for form 1499. PTO-1499 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/839,707
				Filing Date	April 20, 2001
				First Named Inventor	Lam, Angela M.I., et. al.
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				Examiner Name	Not Yet Assigned
Sheet	4	of	5	Attorney Docket Number	16303-008110

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
T ²				
	56	MONCK et al., <i>J. Drug Targ.</i> , 7(6):439-452 (2000)		
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	64	STEIN et al., <i>Science</i> , 261:1004-1011 (1993)		
	65	TEMPLETON et al., <i>Nature Biotechnology</i> , 15:647-652 (1997)		
	66	TILCOCK et al., <i>Biochemistry</i> , 23:2696-2703 (1984)		
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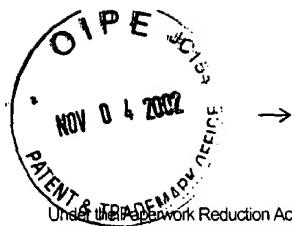
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JA	70	VAN DER WOUDE et al., <i>Biochim Biophys Acta</i> , 1240:34-40 (1995)	
	71	WATTIAUX et al., <i>FEBS Letters</i> , 417:199-202 (1997)	
	72	WHEELER et al., <i>Gene Therapy</i> , 6:271-281 (1999)	
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